Rhode Island Department of Behavioral Healthcare, Development Disabilities & Hospitals (BHDDH)

Workplans for Key Components

January 28, 2023

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Workplans on Key Components of Court Order

▶ <u>Assessing individual needs</u>

▶ <u>Person-centered planning</u>

▶ <u>Individual budgets</u>

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Assessing Individualized Needs

TASK	WHO	TIMEFRAME
1. Discuss use of Supplemental Questions	BHDDH/AAIDD/HMA/AJ Boggs	Late February
2. Release SIS-A 2nd Edition	AAIDD	Late winter/early spring
3. Train staff on SIS-A 2nd Edition	BHDDH/AAIDD	Spring
4. Implement SIS-A 2nd Edition	BHDDH	Late spring/early summer
5. Update assessment framework (algorithm) used to assign individuals to a Tier • Stakeholder engagement • Review of old and new assessment • Review of supplemental questions • Record review by a multidisciplinary team	BHDDH/HMA	Summer/fall

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Person-Centered Planning

▶ Person-centered planning and case management are not currently delivered in accordance with CMS's Home and Community Based Services (HCBS) Final Rule (42 CFR 441.301)

▶ 42 CFR 441.301(c)(1)(vi): *Providers of HCBS for the individual, or those who have an interest in or are employed by a provider of HCBS for the individual must not provide case management or develop the person-centered plan.*

▶ CMS also requires states to assure that:

  ▶ A participant's goals, needs, and preferences are assessed and reflected in their person-centered plan

  ▶ Person centered plans are updated annually and when needed

  ▶ Services are delivered according to the person-centered plan

  ▶ Participants are provided with a choice among services and providers

▶ The RI EOHHS is leading an interagency team to implement a statewide system for providing conflict-free case management and person-centered planning for all Medicaid HCBS participants.

Workplan for CFCM for I/DD Population

Tasks/steps:	Who (preliminary-changes and others added as needed)	To be completed by
1. Small group meetings with DD community to obtain feedback, refine plans for DD implementation and Statewide strategic plan.	BHDDH/EOHHS/Stakeholders	January-February 2023
2. Post an updated strategic plan and communicate to broad community.	EOHHS/BHDDH	February 2023
3. Develop and issue an RFI to potential CFCM vendors	EOHHS/BHDDH	February 2023
4. Develop and post a participant transition plan	EOHHS/BHDDH/Stakeholders	April 2023
5. Develop written communication materials and overview trainings of the transition to CFCM that helps inform the community of what the Statewide model entails and how it will impact individuals with IDD/families. Materials will illustrate the interconnectivity of CFCM, quality person-centered plans, individual budgets.	EOHHS/BHDDH/Stakeholders	Feb-Apr 2023
6. Deliver overview trainings of the model and what will change to inform the community.	EOHHS/BHDDH/Stakeholders	Apr-May 2023
7. Develop and issue an RFP for 1 or more vendors to provide CFCM	EOHHS	April 2023
8. Develop and finalize a set of core competencies for case managers to include all components (gathering information, person centered planning, referrals, monitoring the plan)	Brenda/EOHHS/Stakeholders	April 2023
9. Utilize core competencies to develop CFCM training curriculum and materials	EOHHS/BHDDH/Stakeholders	May-July 2023
10. Implement trainings – Deliver to case managers and others	EOHHS/BHDDH	July – ongoing
11. Create a list of trained and qualified case managers	EOHHS/BHDDH/CFCM vendor(s)	July – ongoing
12. Obtain funding to support CFCM	EOHHS/General Assembly	June 2023
13. Design, test and go LIVE with the Wellsky Case Management System to support CFCM	EOHHS/BHDDH/CFCM vendor(s)	September 2023
14. Conduct readiness reviews and finalize contracts/certification of CFCM vendors	EOHHS/BHDDH	April-October 2023
16. Develop and conduct webinars that help individuals with IDD to understand the transition to CFCM and how that will impact them.	BHDDH/Stakeholders	July-ongoing
17. Communicate with participants regarding CFCM as indicated in Participant Transition Plan	EOHHS/BHDDH	October 2023-ongoing
18. Full implementation of CFCM for all.	ALL	January 2024

Individual Budget vs Tier Package

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INDIVIDUAL BUDGET

▶ Information gathered from the SIS-A, supplemental tool, and conversations with the individual and family/trusted allies inform the ISP.

▶ The budget is developed after the plan and based on the individual's identified outcomes.

  ▶ The individual and their case manager/team will develop budget based on the individual's choices about the type and amount of supports needed to reach the personal goals in the ISP, including activities at home, work, and in the community.

▶ The budget will be submitted as part of the ISP.

TIER PACKAGE

▶ Packages are set in advance based on 5 tiers and 4 living arrangements.

▶ Each of the 20 tier packages have line items with set allocations for each service.

▶ People are assigned a package before a plan is done.

▶ Funding isn't tied to outcomes or to individualized needs.

▶ Support needs are often fit into the package line items regardless of individual needs and goals.

Individual Budget Overview

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▶ The individual budget will allow for more flexibility for the participant to select the types and amount of core services they need without having to ask for exceptions.

▶ The individual may also select from add-on service(s) without impacting the core budget.

  ▶ Add-on services can only be used for the indicated service. The add-on funding can't be shifted to other services.

▶ Services with dedicated funding that the individual can't change, such as group homes and shared living, will not be included in the individual budget.

  ▶ In most cases, BHDDH will coordinate the funding of these services directly with the provider, reducing the need for individual S109s.

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Individual Budget Categories

▶ **Core Individual Budget Examples**
(not an exhaustive list)
- Community-Based Supports
- Center-Based Day
- Assistive Technology
- Respite
- Professional Services
- Non-Medical Transportation
- Vehicle Modifications
- Home Modifications
- PERS
- Companion Room and Board
- Remote Supports

▶ **Add On Services**
- Discovery
- Job Development
- Job Coaching & Retention
- Personal Assistance in the Workplace

▶ **Services not included in Individual Budget.**
(These are managed directly with providers)
- Case management
- Benefits planning
- Residential services including group home, shared living, and supportive living

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Individual Budget Implementation

Workplan for Individual Budgets for I/DD Population

Tasks/steps:	Who (preliminary-changes and others added as needed)	To be completed by
Calculate the Authorized Funding Level: Develop and clearly describe the methodology for calculating the authorized funding level. The AFL will be driven by the new SIS-A, the validated supplemental tool, and conversation with the individual.	BHDDH/EOHHS	After the SIS-A re-norming results and the validated supplemental tool are available
The SIS interviewers will all be trained in the initial supplemental questionnaire by April 30, 2023. As of May 1, 2023 all SIS interviews will be followed by the questionnaire to factor in the determination of the individual's level of need.	BHDDH	April 30, 2023
Document a process to review and monitor the budget according to a specified method and frequency.	BHDDH	July 1, 2023
Develop internal procedures for prompt mechanisms to adjust funding in response to individual situations.	BHDDH	July 1, 2023
Define a process for making adjustments to the budget if it does not meet the participant's needs and assure that the participant is aware of that process.	BHDDH	July 1, 2023
Provide individuals with the information they need to understand their budget responsibilities and to manage their budgets and expenditures, including allowable and non-allowable expenditures or uses of the individualized budget	BHDDH	July 1, 2023