

# **Proposal Form: American Rescue Plan Act Stimulus**

## **Spending Opportunities**

Agencies should have received a memorandum soliciting proposals regarding the allocation of the Coronavirus State Fiscal Recovery Funds (hereafter: SFRF) authorized by the American Rescue Plan Act (Act). This form is the associated template for proposal submission. Proposals must be sent by close of business Wednesday, July 28, 2021 to [federal.stimulus@omb.ri.gov](mailto:federal.stimulus@omb.ri.gov). This is a follow-up to the solicitation for proposals issued earlier this spring. Agencies may submit no more than one additional proposal; each proposal should constitute at least \$3 million in investment. If you have questions about the memorandum or this form, please email Christoph Demers at [Christoph.Demers@omb.ri.gov](mailto:Christoph.Demers@omb.ri.gov).

### **Proposal Overview**

<b>Agency Name</b>	
<b>Proposal Name</b>	
<b>Agency Proposal Owner</b>	
<b>American Rescue Plan Funding Source</b>	
<b>Eligible Expenditure Category*</b>	
<b>Sub Expenditure Category*</b>	
<b>Policy Principle Satisfied</b>	

*\*See Appendix 1 of the SFRF Compliance and reporting Guidance for eligible expenditure categories.*

### **Fiscal Impact of Proposal**

*Please be clear about the recurring financial changes that may occur or be necessary because of the investment. For example, a refurbishment of a current capital asset may lower maintenance costs in the future, or a new investment may require annual appropriations to operate.*

### **Capital or Infrastructure Proposals**

*If your proposal would require significant investment in infrastructure or is a capital project, please describe financing plan for the project and the funds requested. Additionally, please provide a five-year planning period and any additional funds (other than stimulus) that may be needed to support the proposal.*

<b>Capital/ Infrastructure Projects</b>	<b>FY22</b>	<b>FY23</b>	<b>FY24</b>	<b>FY25*</b>	<b>FY26*</b>	<b>FY27*</b>	<b>Total</b>
<b>Federal Stimulus Funds</b>							
<b>Other Funds</b>							
<b>Total</b>							

*\*Costs must be expended or obligated via contract incurred by December 31, 2024 and spent on or before December 31, 2026*

**Administrative or Other Proposals**

*If your proposal is administrative or not related to capital or infrastructure, please provide how the stimulus funds would be used over the next three fiscal cycles and any additional funding that would be required.*

<b>Funding Source</b>	<b>FY22</b>	<b>FY23</b>	<b>FY24</b>	<b>FY25*</b>	<b>FY26*</b>	<b>FY27*</b>	<b>Annual Recurring Charges</b>
<b>Federal Stimulus Funds</b>							
<b>Other Federal Funds</b>							
<b>General Revenue</b>							
<b>Other Funds</b>							
<b>All Funds</b>							

*\*Costs must be expended or obligated via contract incurred by December 31, 2024 and spent on or before December 31, 2026*

**Proposal Background**

*Is this a request for funding to expand or modify an existing initiative/service/capital project or will it constitute a new investment for the state?*

**Opportunity Statement**

*In this section, clearly explain the conditions that exists today and the opportunity that your request presents to capitalize on. The best opportunity statements thoroughly explain, with as much detail as possible: (1) where we are today; (2) where we want to be in the future; and (3) why there is the gap between where we are and where we want to be. In each of the three stages, please quantify the impact this proposal will have; quantification should include the fiscal benefits to the state over time, but may also include other quantifiable metrics (i.e., the proposal will increase the percent of the population that has access to broadband from 10% to 50%; currently XXX of staff hours per week are spent... this proposal will reduce this to XX hours).*

**Proposed Intervention & Theory of Change**

*Provide a detailed description of the initiative you are proposing in response to the opportunity statement above. Your narrative should clearly describe how your intervention, if funded, could close the gap described above and achieve the desired future state. Please highlight the use of any evidence-based interventions in your proposal.*

**Leveraging Stimulus Funding**

*Describe how the impending federal stimulus funds provide a unique opportunity to address the conditions existing today, including the opportunity for future general revenue savings; modernization of technology, infrastructure, or agency operations; enhanced service delivery; etc.*

**Compliance with Statutory Requirements**

*Please review the Interim Final Rule and Compliance and Reporting Guidance linked to in the accompanying memo. Describe how this proposal initially satisfies one or more of the requirements of the American Rescue Plan Act. For Coronavirus State Fiscal Recovery Funds: 1) To respond to the COVID-19 public health emergency or its negative economic impacts; 2) To respond to workers performing essential work during the COVID-19 public health emergency by providing premium pay to such eligible workers of the recipient, or by providing grants to eligible employers that have eligible workers who performed essential work; 3) For the provision of government services, to the extent of the reduction in revenue of such recipient due to the COVID–19 public health emergency, relative to revenues collected in the most recent full fiscal year of the recipient prior to the emergency; and 4) To make necessary investments in water, sewer, or broadband infrastructure.*

**Support for Equity Goals**

*Outline if and how the use of funds prioritizes economic and racial equity as a goal, name specific targets intended to produce meaningful equity results at scale and articulate the strategies to achieve those targets. Examples from the guidance of Services to Disproportionately Impacted Communities (EC 3) include services to address health disparities and the social determinants of health, build stronger neighborhoods and communities (e.g., affordable housing), address educational disparities (e.g., evidence based tutoring, community schools, and academic, social-emotional, and mental health supports for high poverty schools), and promote healthy childhood environments (e.g., home visiting, child care). Please also note if the services will be provided in a qualified census track.*

**Relationship to Other Relief**

*The American Rescue Plan, as well as the prior Congressional COVID relief bills and prior state COVID policies and programs, contain substantial other sources of federal relief for impacted sectors, families, and individuals. If an agency proposal seeks to provide relief to impacted sectors, families or individuals, the agency proposal must (1) summarize the relief that has already been provided or will be provided to the targeted group and (2) how the proposal addresses a need that the other relief has not addressed.*

**Timeline for Implementation**

*Describe how long you expect your proposal to take to implement in full, noting how much progress you expect to make in one year or less. In addition, describe how the project or investment funding can be obligated by December 31, 2024.*

**FTE Impact**

*Use this section to note whether this proposal would require the hiring of additional FTEs, the elimination of existing FTEs or a reprogramming of FTEs. Please provide specific counts, job classifications of impacted existing employees and/or new hires, and salary/benefits costs for each position. Please note relevant timeline considerations such as anticipated hire/reassignment date and end/return to original assignment date for any temporary personnel assignments. If you expect the initiative to require the assistance of contractors, please note that expectation here.*

**Potential Impediments or Risks**

*Use this section to note any potential risks related to the proposal or barriers to implementation (including, e.g., required statutory changes, lack of necessary technical staff/software capabilities, public pressure, highly complex and difficult implementation strategy, etc.), or any unintended consequences that might result from the proposal. You should also note any unanswered questions or persistent unknowns that could hinder implementation of the proposal.*

**Connection to Existing Models and Partnerships**

*Explain how your proposal leverages or expands existing models or partnerships within the state. If your proposal does not build on any existing models or partnerships, explain how you intend to quickly launch your proposed intervention and expend requested funds in one year or less.*

**Success Metrics**

*In this section, explain what success looks like for this proposal—what outcomes will you track, and what targets do you hope to reach, within what timeframe? Cite specific metrics that you plan to track for this proposal and quantify specific goals. The best metric tracking plans will include both output and outcome metrics and, where possible, lay out a plan to assess impact. If this is a request for expansion of an existing proposal, please describe the metrics that you are currently tracking and provide any relevant performance data.*

**Timeline for Outcomes**

*How long after this initiative is implemented do you expect to see meaningful change (example: completion of a proposed training initiative, return on capital investment, attainment of program targets, etc.)? If you expect long-term savings, when do you predict that savings will begin?*

**Additional Proposal Details**

*Please provide any additional information that would aid the review and vetting of the proposal.*

**Signatures**

Chief Financial Officer	
Legal Counsel	
Director	